# **2001 Holiday Closure Frequently Asked Questions**

## Dates for the 2001 Holiday Closure

- **Q.** When does the 2001 holiday closure begin?
- **A.** The 2001 holiday closure begins on Tuesday, December 25, 2001 and remains in effect through January 1, 2002. Monday, December 24, 2001 is a regular workday. The Laboratory will reopen for business on January 2, 2002.
- **Q.** Why is the Lab remaining open on December 24, instead of closing for the entire week?
- **A.** December 24 is not a holiday authorized under the contract between DOE and the University of California. Thus, if the Laboratory were to close for that day, employees would be required to take vacation or leave without pay. In addition, the Laboratory would not be authorized to offer an additional day of vacation grant to those employees eligible for it.

## How the 9/80 Schedule Will Work During Closure

- **Q.** Will the standard 9/80 work schedules remain in effect through the holiday closure?
- **A.** Yes. To eliminate inconsistencies between Schedules A and B in terms of the amount of mandatory vacation used during the closure, a proposal was made last year to return the entire workforce to the 5/40 schedule during the closure. However because of strong negative reactions from employees who commented on the proposal, and other business considerations, the standard 9/80 workweek will remain in effect.
- **Q.** How should employees charge their time during closure?
- **A.** The table below illustrates the typical Time and Effort entries.

	12/25	12/26	12/27	12/28	12/31	1/1/02
	Tuesday	Wednesday	Thursday	Friday	Monday	Tuesday
Schedule A				(Regular		
Exempt	8H/1DA <sup>1</sup>	8H/1 DA <sup>1</sup>	9 V or VG <sup>2</sup>	Friday off. No	$9 \text{ V or VG}^2$	$8H/1DA^3$
Nonexempt	8H/1V	$8H/1V \text{ or } VG^2$	9 V or VG <sup>2</sup>	T&E entry.)	9 V or $VG^2$	$8H/1V^3$
Schedule B						
Exempt	8H/1 DA <sup>1</sup>	8H/1 DA <sup>1</sup>	9 V or VG <sup>2</sup>	8 V or VG <sup>2</sup>	$9 \text{ V or VG}^2$	$8H/1DA^3$
Nonexempt	8H/1V	8H/1V or VG	9 V or VG <sup>2</sup>	8 V or VG <sup>2</sup>	$9 \text{ V or VG}^2$	$8H/1V^3$
5/40,						
Exempt and	8H	8H	8 V or VG <sup>2</sup>	8 V or VG <sup>2</sup>	$8 \text{ V or VG}^2$	8H
Nonexempt						
4/10			_	(Regular		
Exempt	8H/2 DA <sup>1</sup>	8H/2 DA <sup>1</sup>	$10 \text{ V or VG}^2$	Friday off. No	$10 \text{ V or VG}^2$	$8H/2 DA^3$
Nonexempt	8H/2 V	8H/2 VG <sup>2</sup>	$10 \text{ V or VG}^2$	T&E entry.)	$10 \text{ V or VG}^2$	$8H/1V^3$

**Q.** How does the 9/80 schedule affect the amount of "Vacation Grant" that an employee receives?

**A.** The contract between the University of California and the DOE allows the Laboratory to grant an employee who accepted an offer of employment on or after December 1, 1992, and who has less than 10 years of service, up to 3 days of vacation during closure week. Those days are not limited to 8 hours. Depending on what work schedule an employee is on a "day" of vacation grant may be anywhere from 1 to 10 hours.

**Q.** If 9/80 is the standard schedule, why does the Laboratory only pay employees for 8 hours on holidays?

**A.** Employees receive eight hours of pay for each holiday for two key reasons. First, it is equitable and efficient as we have employees on several different schedules, i.e., 9/80, 5/40 and 4/10. It would hardly be fair to give employees on a 5/40 schedule eight hours holiday pay while giving nine hours to those on a 9/80 schedule. Nor would it be practicable to provide each employee with a different amount of holiday pay depending on whether they were on a 5/40, 9/80 or 4/10 schedule during the week in question. Second, it is not financially feasible simply to increase the holiday allotment to nine hours pay per holiday. That would mean that all employees would receive an extra day and one-half of holiday pay each year (12 holidays multiplied by one hour each holiday). The introduction of 9/80 was never intended to increase the percentage of the payroll devoted to non-work time.

**Q.** Since we only get 8 hours for holidays, and we have in the past been required to make the 9th hour up during that week, how are we to make 2 hours up for the 25<sup>th</sup> and 26<sup>th</sup>? And can we make it up the week we return?

**A.** Normally, both exempt and nonexempt employees must make up the extra hour during the same week. Since the Laboratory is closed for the entire week, that will not be possible. Exempt employees should report DA, as shown in the table above. Nonexempt employees have several options for accounting for the extra hour(s) that are not paid as holiday pay. The first is to use their accrued vacation, as shown above. If an employee does not wish to use his/her accrued vacation, with supervisor approval, he or she may take leave without pay. Because that option would result in the employee receiving a smaller paycheck for the closure week, with supervisor approval employees may work the extra hours in the week preceding or following the closure. **Note: Making up time in a different week may result in the employee receiving overtime** 

<sup>&</sup>lt;sup>1</sup> Discretionary Absence (DA) is a cost accounting code that is used only by exempt employees when an absence of 2 hours or less cannot be made up. Exempt employees charge leave in half-day increments.

<sup>&</sup>lt;sup>2</sup> Vacation Grant (VG) is available to those who accepted an offer of employment on or after December 1, 1992 and have less than 10 years of service.

<sup>&</sup>lt;sup>3</sup> DA should only be used if the exempt employee cannot reasonably make up the hour over the remainder of the week. Non-exempt employees may make up the hour on another day during the same week rather than taking vacation.

compensation for the week in which the extra time is worked. Supervisors are encouraged to be as flexible as possible if an employee wishes to utilize this option.

Another option would be for the non-exempt employee to change to a 5/40 schedule.

**Q.** Can I switch from Schedule B to Schedule A or from a 9/80 schedule to a 5/40 schedule during the holiday closure?

**A.** Yes. With the appropriate approvals, employees and contractors who wish to do so may switch schedules without the change counting against the limit of four changes per calendar year. For example, an employee on Schedule B may change onto Schedule A in December without the change counting against the four changes allowed in 2001. Similarly, the employee may switch back to Schedule B in January without the changes counting against the four changes allowed in 2002. An employee also could switch from Schedule A or B to a 5/40 schedule in December and back to the original schedule in January without the change counting against the four changes allowed per year. Note that the changes must be effective for two pay periods.

Q. If I choose to switch my 9/80 schedule for the holiday closure, when should I do so?

**A.** Employees who wish to change schedules for the winter closure period must make their changes effective for two full pay periods, that is from December 10<sup>th</sup> through January 6<sup>th</sup> or from December 24<sup>th</sup> through January 20<sup>th</sup>.

**Q.** If approved by my manager, can I switch from the 9/80 B schedule to a 4/10 schedule?

**A.** No. A 4/10 schedule should not be approved because of the holiday closure. Such schedules may only be approved for programmatic or operational necessity, not solely for employee convenience. Division Leaders should evaluate such proposals carefully and approve them only when there is substantial advantage to the Laboratory.

#### Vacation

**Q.** I have heard of employees receiving a vacation grant for this period. How is one obtained?

**A.** Vacation grants are only given to employees who accepted an offer of employment on or after December 1, 1992, and who have less than 10 years of service. The grant enables employees to charge up to 3 days of the December closure to the vacation grant. The time and effort entry for these days is the time code "VG" for vacation grant. No special approvals are required. The vacation grant may not be used at any time other than the December closure.

**Q.** What if an employee doesn't have the amount of vacation required for the closure and doesn't qualify for the vacation grant?

**A.** If enough vacation has not been saved, an employee can borrow from the 2002 accrual. Employees can also use leave without pay, with their group-level manager's approval.

**Q.** Does an employee who is eligible for the vacation grant receive the grant if they are asked to work during the winter closure vacation grant days?

- **A.** The vacation grant is only made to employees who report vacation grant time. Employees who work are paid for time worked. Similarly, employees who report attendance on December 27<sup>th</sup>, 28<sup>th</sup>, and 31<sup>st</sup> as anything other than VG (eg., sick leave or leave without pay) do not receive the grant.
- **Q**. If an employee does not use the vacation grant during closure, either because s/he is on another type of leave or is required to work, can the vacation grant be used at another time of the year?
- **A.** No. The grant can only be used during the closure when employees are required to take vacation. An unused grant is not added to employees' accrued vacation and cannot be used at another time.
- **Q.** Employees who are eligible for the vacation grant and who are on Schedule A will only need to use two days of "VG," because they would not have been scheduled to work on December 28. Can those employees use their third day of vacation grant on December 24?
- **A.** No. The vacation grant can only be used during the period that the Laboratory is closed, in other words between December 25<sup>th</sup> and January 1<sup>st</sup>.

## **Leave Without Pay**

- **Q.** Can an employee take leave without pay instead of vacation during closure?
- **A**. Yes, with the group-level manager's approval.
- **Q.** If an employee is on leave without pay during closure, will s/he get holiday pay?
- **A.** Yes, provided the employee is in pay status on either Monday, December 24<sup>th</sup>, the last scheduled workday before closure or Wednesday, January 2<sup>nd</sup>, the first scheduled workday after closure.

## **Sick Leave**

- **Q.** If an employee is on sick leave before closure, will vacation be charged during closure?
- **A.** No, provided the medical condition of the employee during closure warrants the use of sick leave and the group-level manager approves. See <u>AM 313</u> for more information.
- **Q.** If an employee becomes ill during closure, can the days of vacation be changed to sick leave?
- **A.** Laboratory policy permits changing vacation to sick leave if the employee has a "disabling injury or illness." See AM 312.15. The employee's manager may require proof of illness.

#### **Other Leaves**

- **Q**. If an employee was injured at work and has been reporting time as one of the "I" codes, should this time be changed to vacation for the 3 days the Laboratory is closed?
- **A**. No, continue to report this time with the appropriate "I" code for the time of closure as long as eligibility remains the same during that period.
- **Q**. An employee has National Guard duty during closure. How is this time reported?
- **A.** Report this time as Military Leave if you are eligible as described in AM 317. Otherwise the absence must be reported as V (VG, if eligible) or LWOP.

## **Part-Time Employees**

- **Q.** If a part-time employee's work schedule does not include Thursday, would the employee's vacation balance be charged for Thursday, December 27<sup>th</sup>? What if one of the holidays is not a day a part-time employee is normally scheduled to work?
- **A.** It is best to report the hours during closure as though the part-time employee were scheduled to work the same number of hours every day. For example, if an employee appointed at 50% normally works 8 hours on Monday and Tuesday, and 4 hours on Wednesday, the employee's schedule during the closure would change to 4 hours each day. The employee will receive 12 hours holiday pay for the 3 Laboratory holidays and be charged 12 hours vacation for the 3 days of the closure period. Remember that part-time employees are paid for holidays according to their appointment percentage, not according to how many hours they normally work.

## **Work During Closure**

- **Q.** Can an employee be required to remain in work status during the closure to perform essential functions?
- **A**. Yes, a few essential functions will need to be maintained during the closure so it is possible that an employee may be asked to remain on duty. However, this requires the written approval of the division-level manager in advance. (**Note to Managers**: The written approval remains in the employee's group office files; do not send it to the Payroll Team.)
- **Q.** If an employee is on change of station and the organization to which the employee is assigned is on a normal work schedule, must the employee take vacation?
- **A.** An employee on change of station who is required to perform essential duties for the host organization during the period of closure should obtain the same advance written approval of the division-level manager described above.

**Q.** Can an employee choose to come to work while on vacation or leave without pay?

**A.** Non-exempt employees are prohibited from working on site or at home during the closure unless an exception has been granted in writing before closure begins by the division-level manager to permit the employee to provide essential services. These employees are removed from leave status and are paid for all time worked on a non-holiday during the closure. If a non-exempt employee will require the removal of Laboratory equipment during the closure, written approval must also be obtained from Human Resources. (Contact your HR Generalist for information on the approval process.) Exempt employees may work off site but must receive prior division-level manager approval in writing to be paid for this time rather than charging the employee's leave balance or reporting the time as leave without pay. Exempt employees are asked to refrain from working on site, except to perform essential functions as noted above.

**Q.** Will employees who are approved to work during closure receive extra pay?

**A.** Work performed on December 27<sup>th</sup>, 28<sup>th</sup>, and 31<sup>st</sup> will be paid as a normal workday; employees will not receive extra pay for work performed on those days. Non-exempt employees who work on December 25<sup>th</sup> or 26<sup>th</sup> or on January 1<sup>st</sup> will receive holiday pay in accordance with AM 305.13. Exempt employees will not receive additional compensation for holidays worked. Note: Employees who are eligible for the vacation grant but who work during closure do not receive the grant.

Q. Can an employee go on official travel during the closure?

**A.** Travel during the closure should be limited to exceptional cases and requires appropriate division-level manager approval.

**Q.** Someone from our group must be on call during closure in case of emergencies. Can an employee who is on vacation be required to be on call?

**A.** Yes, if it is essential that employees be available to handle emergencies. The procedures described in AM 308 for approving on-call status and reporting on-call hours still apply.

## Special Program Employees, Contract Workers, and Other Personnel

**Q.** Can a GRA who is scheduled to work during the semester break be required to work during the closure period?

**A.** Special Program employees have the same restrictions for working during the closure as other employees. The division-level manager, as described in the previous section, "Work During Closure", may grant exceptions.

**Q**. My group uses the services of people who work for contractors. May these people continue to work during closure?

**A.** A contract worker may only perform work for the Laboratory during the closure if s/he performs functions essential to the Laboratory and has received approval to work before the closure. Contact the Staffing Alternatives Team at 7-2299 for approval procedures.

**Q.** Can a Laboratory Consultant receive payment for work during the closure?

**A.** Laboratory Consultants may be paid for work performed off site during closure but may not work on site.

Q. Can Guests, Student Guests, or Official Visitors perform work during the closure?

**A.** Guest Scientists, Student Guests, and Official Visitors may work during the closure but are prohibited from working on site.

# **Payday During Closure**

**Q.** How will employees be paid on the payday that falls on December 27<sup>th</sup>?

**A**. Those employees who have direct deposit will be paid in the normal fashion. Employees who normally receive a paycheck delivered to their Mail Stops can pick up their paychecks at the SM-30 Warehouse Mail Center on December 27<sup>th</sup> from 6 a.m. until 2 p.m. To receive a check, employees must present a Laboratory badge or another photo ID and must sign to indicate that they have received the check. An employee cannot pick up another employee's check. Each employee must appear in person. Checks that are not picked up on December 27<sup>th</sup> will be delivered to Mail Stops on Wednesday, January 2<sup>nd</sup>. To avoid any inconvenience, employees who do not already have direct deposit are encouraged to sign up for the service at the Payroll Team office as soon as possible.

#### **Useful Contacts and Phone Numbers**

**Q.** Who can I call if I have questions that were not answered in this memo?

- For payroll-related questions, call the Payroll Team at 7-4594.
- For questions about policy or other procedures, call the HR Generalist assigned to your division or Staff Relations at 7-8730.
- For questions about benefits and insurance coverage that apply during closure, call the Benefits Team at 7-1806.
- For questions on Special Program employees, call the Staffing Group at 7-0870.
- Questions about Laboratory Consultants may be directed to the Special Procurement Services Team at 5-1588.
- For questions about contract personnel, call Contract Personnel Services at 7-7929.